

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF: Mao et al.

OUR FILE NO: 10-387 US

SERIAL NUMBER: New application

GROUP: New application

FILED: New application

EXAMINER: New application

TITLE: NON-MOVING PARTS RECONFIGURABLE ADD/DROP DEVICE



Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Handwritten signature/initials

Dear Sir:

Transmitted herewith is an Information Disclosure Statement (Form PTO-1449) in the above-captioned application with references.

Certification

X This Information Disclosure Statement is submitted within three months of:

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) the date of entry into the U.S. National Stage of the above-identified International Application, or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies.

_____ This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application.

_____ This Information Disclosure Statement is submitted after three months from

- (i) the filing date of the above-identified U.S. National Patent application, or
- (ii) after three months from entry into the U.S. National Stage of the above-identified International Application; or
- (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies; and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of the earlier of any Final Action or Notice of Allowance sent in such application. The certification under 37 C.F.R. §1.97(e) is submitted separately or below, or the fee required under 37 C.F.R. §1.97(c) and § 1.17(p) is submitted herewith.

_____ This Information Disclosure Statement is submitted after the earlier of the mailing date of a final rejection or Notice of Allowance sent in this application but before payment of the Issue Fee. The certification required under 37 C.F.R. § 1.97(e) is submitted separately or below. A petition to the Commissioner and the appropriate fee pursuant to §1.17(i) (1) are submitted herewith.

_____ A certification under 37 C.F.R §1.97 is submitted herewith separately from this paper.

_____ It is hereby certified that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

_____ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,



Randy W. Lacasse
Registration No: 34,368

1/19/01
Date:

Lacasse & Associates
2001 Jefferson Davis Highway
Suite 806
Arlington, Virginia 22201
U.S.A.

Tel: (703) 415 1015
Fax: (703) 415-1017

Form PTO 1449 U.S. Department of Commerce Patent and Trademark Office Information Disclosure Statement by Applicant	ATTY. DOCKET NUMBER: 10-387 US	SERIAL NUMBER: New Application
	APPLICANT: MAO ET AL.	
	FILING DATE: NEW APPLICATION	GROUP: NEW APPLICATION

JCS979 U.S. PTO
 09/764256
 01/19/01

U.S. Patent Documents

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILE APP
		5,978,116	Nov. 2/99	Wu et al.	359	124	
		5,606,439	Feb. 25/97	Wu	349	117	
		5,694,233	Dec. 2/97	Wu et al.	359	117	
		6,035,080	Mar. 7/00	Henry et al.	385	24	
		4,973,124	Nov. 27/90	Kaede	350	96.15	

Foreign Patent Documents

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant		